

Approved Audit Committee Work Programme for Quarters 3 and 4 of 2020/21

Meeting Date & Venue	Agenda Item	Summary of Report Issue
Thursday 10 September 2020, 6.00pm.	Audit Findings Report.	Updated report to support the external audit of the 2019/20 Statement of Final Accounts.
	2019/20 Statement of Final Accounts.	This is a progress report on the updated financial position as per the Statement of Final Accounts.
	External Audit Progress Report August 2020.	An update produced by the External Auditor of issues to be brought to the attention of this Committee.
	Update on Audit Matters.	An update on the proposed external tender process to appoint one external auditor and the proposal to defer the recruitment of an independent chair to the Audit Committee until the calendar year 2021.
	Proposed Audit Committee Work Programme for 2020/21.	This report detailing the proposed work programme to support the approval of the 2020/21 Statement of Final Accounts.
	Private Report; Update on Annual Governance Statement for 2019/20 and New Issues.	This updates the Audit Committee on key matters included within the Annual Governance Statement, the Corporate Risk Register and ad hoc matters the Head of Corporate Governance highlights to the Audit Committee.
	Private Report; 2020/21 Internal Audit and Counter Fraud Plan.	This sets out for the Committee the planned programme of work from 1 August 2020 to 31 March 2021 following the pandemic.
	Private Report; Business Grant Schemes Assurance Report.	This sets out the return provided to the Department of Business, Enterprise and Industrial Strategy about the governance processes supporting Business Grants provided as Emergency Support during lockdown.
	Private Report; Update on General Matters in 2020/21.	This report details key governance issues highlighted to the Committee including a public interest report issued to another local authority.
Tuesday 3 November 2020, 6.00 pm	External Audit Progress Report November 2020.	An update produced by the External Auditor of issues to be brought to the attention of this Committee.
	Final Accounts Update Report	An update on the process to sign off the final accounts
	Treasury Management Mid-Year Review	The planned scrutiny of the Treasury Management processes before submission to Cabinet in November.

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	Update on External Audit Matters	This report considers the Redmond Review has been produced with 23 recommendations currently under consideration impacting on the production of the accounts and external audit. This report sets out the Council view on these recommendations.
	Audit and Counter Fraud Progress Report.	This is the routine report on the progress made against the agreed plan.
	Proposed Audit Committee Work Programme for 2020/21.	This report detailing the proposed work programme to support the approval of the 2020/21 Statement of Final Accounts.
	Private Report; Internal Control Matters for Payroll: Update.	This updates the Audit Committee on the key internal control matters supporting the administration of the payroll system identified as a key area for improvement.
	Private Report; Update on the Annual Governance Statement for 2019/20 and new issues.	This updates the Audit Committee on key matters included within the Annual Governance Statement and ad hoc matters the Head of Corporate Governance highlights to the Audit Committee.
	Private Report; Business Grant Schemes Assurance Report.	This sets out the return provided to the Department of Business, Enterprise and Industrial Strategy about the governance processes supporting Business Grants provided as Emergency Support during lockdown.
	Private Report; Partnership Risk Dashboard.	This is the follow up work requested by the Committee on partnership governance considering potential issues identified on governance in a public interest report issued elsewhere.
Tuesday 18 January 2021, 6.00pm.	External Audit Progress Report January 2021.	An update produced by the External Auditor of issues to be brought to the attention of this Committee.
	Data Protection Update.	Routine GDPR report by the Data Protection Officer as required under GDPR Legislation.
	Treasury Management Strategy Statement 2021/22	This sets out the Proposed Strategy for 2021/22 to support the Corporate Objectives of the Council.
	Audit and Counter Fraud Progress Report	This is the routine report on the progress made against the agreed Internal Audit and Counter Fraud Plan.
	Cabinet Office National Fraud Initiative Arrangements for 2020/21 - Information for Elected Members	This is a report to brief members of the current initiative which is ongoing to detect potential fraud and loss in the Authority.

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	Proposed Audit Committee Work Programme for 2020/21	This report detailing the proposed work programme to support the approval of the 2020/21 Statement of Final Accounts.
	Private Report; Update on the Annual Governance Statement for 2019/20 and new issues.	This updates the Audit Committee on key matters included within the Annual Governance Statement, the Corporate Risk Register and ad hoc matters the Head of Corporate Governance highlights to the Audit Committee.
	Private Report; Update on General Matters in 2020/21	This report details key governance issues highlighted to the Committee including a public interest report issued to another local authority.
	Private Report; Business Grant Schemes Assurance Report.	This sets out the return provided to the Department of Business, Enterprise and Industrial Strategy about the governance processes supporting Business Grants provided as Emergency Support during lockdown.
Thursday 25 March 2021, 6.00 pm	Audit Annual Audit Letter 2019/20	An update produced by the External Auditor of issues to be brought to the attention of this Committee.
	Audit of Housing Benefit Subsidy 2019/20	This report considers the feedback on the audit of the housing subsidy grant claim.
	Audit of Teachers' Pensions Agency Return 2019/20.	This report considers the feedback following the external Audit of the Teachers' Pension Agency.
	2020/21 Final Accounts - Proposed Accounting Policies and Critical Judgements.	In advance of the approval of the accounts an opportunity for the Audit Committee to review the Proposed Policies and Judgements for 2020/21 Statement of Accounts.
	Audit and Counter Fraud Progress Report	This is the routine report on the progress made against the agreed Internal Audit and Counter Fraud Plan.
	Internal Audit and Counter Fraud Plan 2021/22	The proposed plan of work for the financial year 2020/21 to enable review by the Committee.
	Cabinet Office National Fraud Initiative Progression.	This is a report to brief members of the current initiative which is ongoing to detect potential fraud and loss in the Authority.
	Approved Audit Committee Work Programme for 2020/21 and Planned Work Programme for Q1 2021-22.	This report detailing the proposed work programme to support the approval of the 2020/21 Statement of Final Accounts.
	Private Report; Internal Audit and Counter Fraud Plan 2021/22	The proposed plan of work for the financial year 2020/21 to enable review by the Committee.

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	Private Report; Business Grant Schemes Assurance Report.	This sets out the return provided to the Department of Business, Enterprise and Industrial Strategy about the governance processes supporting Business Grants provided as Emergency Support during lockdown.
	Private Report; Partnership Risk Dashboard	This is the regular update requested by the Committee on partnership governance considering potential issues identified on governance elsewhere.
	Private Report; Update on General Matters 2020/21	This report identifies a number of governance issues reported in the public domain linked into financial administration at other local authorities.
	Private Report; Update on the Annual Governance Statement for 2019/20 and new issues.	This updates the Audit Committee on key matters included within the Annual Governance Statement, the Corporate Risk Register and ad hoc matters the Head of Corporate Governance highlights to the Audit Committee.

Planned Audit Committee Work Programme for Quarter 1 of 2021/22

Meeting Date & Venue	Agenda Item	Summary of Report Issue
Thursday early June 2021, 6.00pm	Data Protection Update	Routine six monthly report by the Data Protection Officer as required under GDPR.
	External Audit Progress Report May 2021.	An update produced by the external auditor of issues to be brought to the attention of the Committee.
	Informing the Risk Assessment 2020/21 (Director of Finance).	This report details the questions to those charged with Governance to enable the Statement of Financial Accounts to be audited.
	Informing the Risk Assessment 2020/21 (Vice Chair of the Audit Committee).	This report details the questions to those charged with Governance to enable the Statement of Financial Accounts to be audited.
	Review of System of Internal Audit by the Audit Committee	Annual Review of the system of internal audit using the balanced scorecard.
	2021/22 Internal Audit and Counter Fraud Plan.	The proposed plan of work for the financial year 2020/21 to enable review by the Committee.
	Internal Audit Charter 2020/21	Proposed update to the Audit Charter for 2020/21 to reflect any changes required
	Update on Redmond Review and its implications.	A follow up review as the implications for the external audit process is clarified.
	Update of Local Code of Corporate Governance to June 2021	Update of the previously agreed Local Code of Corporate Governance.
	Private Report; Corporate Risk Register 2020/21	This updates the Audit Committee on the implementation of the Framework previously agreed and the issues identified in the Corporate Risk Register.
	Private Report; Inspection by the Office of the Surveillance Commissioner	Feedback on the Desktop Inspection undertaken by the Office of the Surveillance Commissioner
Late June 2021, 6.00pm	2020/21 Annual Report by the Head of Corporate Governance	Annual Report based on the work conducted by Audit and Counter Fraud Team, Insurance/ Risk and Information Governance to determine the overall control environment of the Council.
	Annual Governance Statement 2020/21	Draft Annual Governance Statement included within the Statement of Accounts for review and scrutiny.
	2020/21 Draft Annual Statement of Accounts	Draft Statement of Accounts subject to external audit for approval by the Committee.
	Review of Corporate Fraud Policies	An annual review of the Counter Fraud Suite of policies to reflect the recent legislation.

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Meeting Date & Venue	Agenda Item	Summary of Report Issue
	Private Report; Senior Information Risk Officer (SIRO) Update	Annual report of the SIRO including an overview of reported data breaches.